

**FACSIMILE COVER SHEET**

**BRINKS  
HOFER  
GILSON  
& LIONE**

Date: July 22, 2002

To: John Dill c/o  
United States Patent and Trademark Office

A Professional Corporation  
Intellectual Property Attorneys

Fax No: 703-746-6606

NBC Tower - Suite 3600  
455 N. Cityfront Plaza Drive  
Chicago, Illinois 60611-5599  
Facsimile 312-321-4299  
Telephone 312-321-4200

From: Joe Hetz  
Tel. No: 312-321-4719

Client No: 8285

No. of Pages  
(inc. this page): 15

San Jose, CA  
Indianapolis, IN  
Ann Arbor, MI  
Arlington, VA

Confirmation Copy To Follow: Yes  No

IF YOU HAVE ANY PROBLEMS RECEIVING THIS MESSAGE,  
PLEASE CALL 312-321-4200 AND ASK FOR: Joe Hetz

THIS MESSAGE IS INTENDED ONLY FOR THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED. IT MAY CONTAIN PRIVILEGED, CONFIDENTIAL, ATTORNEY WORK PRODUCT, OR TRADE SECRET INFORMATION WHICH IS EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAWS. IF YOU ARE NOT THE INTENDED RECIPIENT, OR AN EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION, OR COPYING OF THIS MESSAGE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS MESSAGE IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE (AND ALL COPIES) TO US BY MAIL AT THE ABOVE ADDRESS. WE WILL REIMBURSE YOU FOR POSTAGE.

**COVER MESSAGE:**

Re: Application No. 10/038,048

TRANSMITTAL LETTER			Case No. 8285/470
Serial No. 10/038,048	Filing Date December 20, 2001	Examiner Not Yet Assigned	Group Art Unit 2131
Inventor(s) THOMAS LEE ADAMS			
Title of Invention METHOD AND SYSTEM FOR CALLING LINE AUTHENTICATED KEY DISTRIBUTION			

## TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Notice to File Missing Parts of Nonprovisional Application (1 page); Response to Notice to File Missing Parts of Nonprovisional Application (2 pages in duplicate); Fully executed Patent Application Declaration Combined with Power of Attorney (3 pages); Assignment (2 pages); Assignment Recordal Cover Sheet (1 page) Check-\$40.00 for Assignment Recordation Fee; Information Disclosure Statement (2 pages); Form PTO-1449 (1 page); 1 Other Art Reference; Postcard Receipt.

Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.

A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.

Petition for a \_\_\_\_\_ month extension of time.

The fee has been calculated as shown below:

			Small Entity		Other Than Small Entity			
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	Rate	Add'l Fee
Total		Minus			x \$9 =		x \$18 =	\$
Indep.		Minus			x 42 =		x \$84 =	
First Presentation of Multiple Dep. Claim					+ \$140 =		+ \$280 =	
					Total add'l fee	\$	total add'l fee	\$

Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is enclosed.

A check in the amount of \$1,254.00 to cover the filing fee of \$740.00, the surcharge fee of \$130.00 and the additional claims fee of \$384.00 is enclosed.

The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Joseph F. Hetz  
Registration No. 41,070  
Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on March 19, 2002.

Date: March 10, 2002

Signature:

Rev. Jan-97

GSA/USPTO Form 1 PTO-1449 (Rev. 1-2000) 8285-453 Miss Part. Regd. P. Purpose Transm.



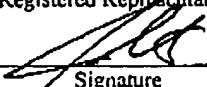
I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents  
Washington, D.C. 20231  
on March 19, 2002

Date of Deposit

Joseph F. Hetz - Reg. No. 41,070

Name of applicant, assignee or  
Registered Representative

  
Signature

3/19/02

Date of Signature

Case No. 8285/470

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

THOMAS LEE ADAMS

Serial No.: 10/038,048

Examiner: Not Yet Assigned

Filed: December 20, 2001

Group Art Unit: 2131

For: METHOD AND SYSTEM FOR  
CALLING LINE AUTHENTICATED  
KEY DISTRIBUTION

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

Commissioner for Patents  
Washington, D.C. 20231

Attn.: Box Missing Parts

Dear Sir:

In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated February 4, 2002, a copy of which is attached, enclosed herewith for filing are the following documents:

Fully executed Patent Application Declaration combined with Power of Attorney (3 pages).



Applicant is:

a small entity, verified statement is:

attached

already filed

other than small entity

Checks totaling \$1,254.00 for:

Filing Fee of \$740.00.

Surcharge of \$130.00.

Additional Claim Fees of \$384.00.

1 Check totaling \$40.00 for:

Assignment Recordation Fee of \$40.00.

Other: Assignment (2 pages); Information Disclosure Statement (2 pages); Form PTO-1449 (1 page); 1 Other Art Reference; Postcard Receipt.

The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: March 19, 2002

  
Joseph E. Hetz  
Registration No. 41,070  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610  
(312)321-4200

rev. Oct.-01  
C:\Joe\Anteritech\PTO Filings\8385-453 Miss Parts Response.doc

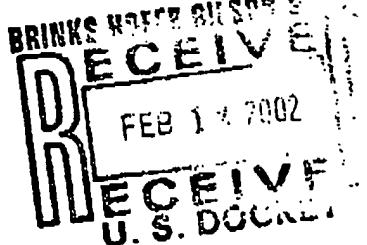




## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/038,048	12/20/2001	Thomas Lee Adams	8285/470 (T00364)



CONFIRMATION NO. 5776

FORMALITIES LETTER



FOC000000007414052\*

BRINKS HOFER GILSON & LIONE  
 SUITE 3600  
 455 N. CITYFRONT PLAZA DR.  
 CHICAGO, IL 60611

Date Mailed: 02/04/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$384.
  - \$216 for 12 total claims over 20.
  - \$168 for 2 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1254.

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*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

